RELIANCE Mutual Fund
Anil Dhirubhai Ambani Group

Reliance Capital Asset Management Limited
A Reliance Capital Company

APP No.: WD00002440

COMMON APPLICATION FORM FOR DEBT SCHEMES

TO BE FILLED IN CAPITAL LETTERS. PLEASE (✓) WHICHEVER IS APPLICABLE
Please read the instructions carefully, before filling up the application. All Columns marked * are mandatory. Leave one box blank between two words.

1. DISTRIBUTOR / BROKER INFORMATION

Name & Broker Code / ARN	Sub Broker / Sub Agent Code

FOR OFFICE USE ONLY

Date and Time of Receipt	Bank / Register Serial No.

2. EXISTING UNIT HOLDER INFORMATION

For existing investors please fill in your Folio number, name & proceed to Investment & Payment Details.

FOLIO NO. ____ Name of Sole/ 1st applicant ____

3. APPLICANT INFORMATION (Refer Instruction No. II)

MODE OF HOLDING	☐ Single	☐ Joint	☐ Any One or Survivor(s) (Default Joint)				
OCCUPATION	☐ Business	☐ Professional	☐ Service	☐ Retired	☐ Student	☐ House wife	☐ Others ____
STATUS	☐ Individual	☐ FIIs	☐ Society	☐ AOP/BOI	☐ Banks	☐ FIs ☐ Trust	☐ Company/Body Corporate
	☐ Partnership firm	☐ HUF	☐ Minor	☐ NRI Repatriable	☐ NRI Non-Repatriable		☐ Others ____

Name of First / Sole applicant ☐ **Mr.** ☐ **Ms.** ☐ **M/s.**

1st holder PAN Mandatory — Enclosed ☐ PAN Proof ☐ Form 60/61 ☐ Form 49A — Date of Birth* DDMMYYYY

Name of Guardian (In case of Minor)/Contact Person-Designation (In case of non-individual Investors) ☐ **Mr.** ☐ **Ms.**

Guardian's PAN Mandatory — Enclosed ☐ PAN Proof ☐ Form 60/61 ☐ Form 49A — Relation with Minor / Designation

Name of Second Applicant ☐ **Mr.** ☐ **Ms.** ☐ **NRI**

2nd holder PAN Mandatory — Enclosed ☐ PAN Proof ☐ Form 60/61 ☐ Form 49A — Date of Birth* DDMMYYYY

Name of Third Applicant ☐ **Mr.** ☐ **Ms.** ☐ **NRI**

3rd holder PAN Mandatory — Enclosed ☐ PAN Proof ☐ Form 60/61 ☐ Form 49A — Date of Birth* DDMMYYYY

Mailing Address of Sole / First Applicant (P.O. Box Address may not be sufficient)

Add 1
Add 2 District
City State PIN*

OVERSEAS CORRESPONDENCE ADDRESS (MANDATORY FOR NRI/FII APPLICANT)

City Country ZIP

CONTACT DETAILS OF SOLE/FIRST APPLICANT

Tel. No. STD Code ____ Office ____ Residence ____ Mobile no. (For Receiving SMS Alert) ____

Wish to receive Account Statement/Annual Report/Quarterly Statement via email instead of physical, Please provide your email ID

4. BANK ACCOUNT DETAILS (Refer Instruction No.III) MANDATORY

A/c. Type ✓ ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR Account No. Mandatory

Bank Mandatory Branch

Branch Address

Branch City Mandatory PIN 9 Digit MICR Code* Mandatory

Received from ____ an application for allotment of Units under Reliance ____ as per details below.

APP No.: WD00002440

☐ **Growth Option** Rs.	☐ **Dividend Reinvestment** Rs.
☐ **Bonus Option** Rs.	☐ **Dividend Payout** Rs.

Cheque / DD No. ____ Dated ____ Rs. ____
drawn on ____

Signature, Date & Stamp of receiving office

5. INVESTMENT & PAYMENT DETAILS (Separate cheque/Demand Draft is required for investment in each scheme/plan.

Scheme	Plan	Option	Net Cheque / DD Amount Rs.	Cheque / DD No. & Date	Bank / Branch

SIP ENROLLMENT DETAILS

Frequency (Please ✓) ☐ Monthly ☐ Quarterly **SIP Date:** ☐ 2 ☐ 10 ☐ 18 ☐ 28

Enrolment Period : From :__________ (MM/YY) To :__________ (MM/YY) **Amount per Instalment: Rs.** ____________________

PAYMENT TYPES

☐ **OPTION I. Payment through post dated cheques.** Number of Cheques ______ Cheque Number From ______ Cheque Number To ______

Bank Name ____________________ Branch Name ____________________

☐ **OPTION II. Debit Through ECS (You only need to tick this box & fill SIP Auto Debit (ECS) Mandate Form)**

☐ **OPTION III. Auto Debit Instruction** (You only need to tick this box & fill Auto Debit Form)

6. DIRECT CREDIT OF REDEMPTION / DIVIDEND PROCEEDS - IF ANY

Unitholders having bank accounts with ABN AMRO Bank NV, Citibank N.A, Deutsche Bank AG, HDFC Bank Limited, The Hongkong and Shanghai Banking Corporation, ICICI Bank Limited, IDBI Bank Limited, Kotak Mahindra Bank Ltd., Standard Chartered Bank, UTI Bank Limited, *Centurion Bank of Punjab will receive their redemption / dividend proceeds (if any) directly into their bank account.

In case you wish to receive a cheque / demand draft, please indicate your preference below : (Please ✓ in this box) ☐

I / We want to receive the redemption / dividend proceeds (if any) by way of a cheque / demand draft instead of direct credit into my / our bank account.

7. DOCUMENTS ENCLOSED (Please ✓) (MANDATORY)

☐ Memorandum & Articles of Association ☐ Systematic Investment Plan ☐ Systematic Transfer Plan
☐ Trust Deed ☐ Bye-Laws ☐ Partnership Deed ☐ Cheques ☐ SIP Auto Debit Facility
☐ Resolution / Authorisation to invest ☐ List of Authorised Signatories with Specimen Signature(s) ☐ Power of Attorney

8. NOMINATION

Nominee's Name ☐ **Mr.** ☐ **Ms.** ____________________ Date of Birth* D D M M Y Y Y Y

Name of Parent/ Guardian In case of Minor ☐ **Mr.** ☐ **Ms.** ____________________ Relation with Minor / Designation ______

Address of Nominee /Guardian ____________________

City ____________________ PIN ______

Specimen Signature of Nominee/Minor Nominee's Guardian

9. Reliance Any Time Money - Debit Card

Please read the instructions carefully

For Existing Card Holders

If you already have a Reliance Any Time Money Card, please furnish the following information to which the new folio that you now wish to open is to be linked.

Existing Folio No. ______ 16 Digit ATM Card Number ______

For New Card Applicant

Name as you would like to appear on your card M a n d a t o r y ______ (Maximum of 24 characters)

Mother's maiden name in full. M a n d a t o r y ______

Please contact RCAM for the Schemes under which cards are issued.

Card will be issued only for subscription through Self Cheque. No card shall be issued for subscription through DDs/third party cheques.

For Existing Investor : Do you wish to change your primary account ☐ Yes ☐ No If yes please specify the Scheme Name__________________________

10. DECLARATION

I/We would like to invest in Reliance____________________subject to terms of the Offer Document and subsequent amendments thereto. I/We have read the instructions and the Offer Document before filling the Application Form. I/We have understood the details of the scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. **Declaration :** I have read and understood the Terms and Conditions governing the investment under Reliance ____________________ Fund of Reliance Mutual Fund and those relating to various services including, but not limited to ATMs/ Debit Card. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the Reliance Capital Asset Managements Limited (RCAM) liability. I understand that the RCAM may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I agree RCAM debit from my folio for the service charges as applicable from time to time. I confirm that I am resident of India.

APPLICABLE TO NRIs ONLY

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

SIGNATURE/S	Sole / 1st applicant / Guardian Authorised Signatory	2nd applicant / Authorised Signatory	3rd applicant Authorised Signatory

ACKNOWLEDGMENT SLIP (To be filled in by the Applicant)

Express Building, 4th Floor, 14 E Road
Churchgate, Mumbai 400 020
Call : 30301111 www.reliancemutual.com

RELIANCE Mutual Fund
Anil Dhirubhai Ambani Group

INSTRUCTIONS TO HELP YOU COMPLETE THE MAIN APPLICATION FORM

I. GENERAL INSTRUCTION :

1. Please read the Key Information Memorandum and the Offer Document of carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
2. The application form must be filled in English in BLOCK letters using Black or Dark Blue Colored ink. Incomplete applications are liable to be rejected. Please refer to the checklist to ensure that the requisite details and documents have been provided. This will help in avoiding processing delays and / or rejection of your Application Form.
3. The Applicants' name and address must be given in full (P.O. Box No. alone is not sufficient). In case of multiple applicants, all communication and payments towards redemption will be made in the name of / favoring first applicant only. If the first applicant is a minor, the name of the Guardian who will sign on behalf of the minor should be filled in the space provided. Please fill in your date of birth as this may be required for validating your identity for certain transactions /communication. Also, please provide Telephone No./E-mail Id. of the first applicant, so as to facilitate faster and efficient communication.

II. APPLICANTS INFORMATION :

4. All applicants must sign the form, including existing unitholders (quoting existing Folio no). Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Co./Body Corp./ Society/ Trust etc. Should sign under their official seel, designation. A list of Authorised Signatories with their names & designations duty certified / attested by the bankers should be attached with the application form.
5. In case the application is made under a Power of Attorney (PoA), a duly certified copy thereof duly notarised should be submitted with the application. The POA document should contain the signatures of both the Applicant & the constituted Attorney.
6. Application made by a Limited Company or by a Body Corporate or a registered Society or a Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, alongwith a certified copy of the Memorandum and Articles of Association or Trust Deed / Bye Laws / Partnership Deed, whichever is applicable.
7. In case of non-individual applicants, i.e. HUF / Companies / AOP / BOI / Trusts / Societies / FIIs etc. the name, email-ID and telephone number of the contact person to whom the correspondence should be addressed to should be provided.
8. Permanent Account Number (PAN)

   As per SEBI circular number MRD/DoP/Cir- 05/2007 dated April 27, 2007, PAN shall be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction w.e.f. July 2, 2007.

   If the investment is in the name of a minor, the PAN of the minor or his father or mother or guardian, who represents the minor should be quoted. Accordingly, applications for investments in Mutual Funds should be accompanied by following.

   For all Investments: A) A copy of PAN Card or B) Evidence of having applied for PAN (Acknowledgment copy of Form 49A) and For Investments of Rs. 50,000/- or above : A) A copy of PAN Card or B) Evidence of having applied for PAN - Acknowledgment copy of Form 49A and Form 60/61 (in duplicate) along with the address proof.
9. Know Your Client (KYC) Compliance

   Investors who wish to be KYC compliant have to submit a completed Application Form along with all the prescribed documents listed for the KYC compliance at any of the Point of Service ('POS'). The KYC application form is available at our website (www.reliancemutual.com) and AMFI website (www.amfiindia.com). POS are the designated centres appointed by the Central Agency (presently CDSL Venture Ltd.) for receiving application forms, processing data and confirming KYC compliance. List of and location of POS is available at our website (www.reliancemutual.com) and www.amfiindia.com. On submission of application, documents and information to the satisfaction of the POS, the investor will be provisionally confirmed KYC compliant. Subsequently, the Central Agency will scrutinize the information and documents submitted by the investor, and confirm the KYC compliance. However, the Central Agency may cancel the KYC application within 15 working days from the date of allotment of provisional KYC confirmation, in case of any deficiency in the document/information. Intimation on cancellation of provisional KYC confirmation will be dispatched by the Central Agency to the investor immediately. No communication will be sent to the investor if the provisional KYC compliance is confirmed.

   Presently, it is mandatory for all applications for subscription of value of Rs.50,000/- and above to be KYC compliant for all the applicants (guardian in case of minor) in the application for subscription. The KYC will be validated with the records of the Central Agency before allotting units. Applications for subscriptions of value of Rs.50,000/- and above without a valid KYC confirmation may be rejected. In the event of any KYC Application Form being subsequently rejected for lack of information/deficiency/insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV as on the date on which the Registrars receive the intimation of rejection from the Central Agency, subject to payment of exit load, wherever applicable. If such a date happens to be a non-transaction day, then the next succeeding transaction day shall be considered for the purpose of redemption. Such redemption proceeds will be despatched within a maximum period of 21 days from date of acceptance of application. All investors (both individual and non-individual) can apply for being KYC compliant. However, applicants should note that minors cannot apply for KYC compliance and any investment in the name of minors should be along with a Guardian, who should be KYC compliant for the purpose of investing with a Mutual Fund. Also, applicants / unit holders intending to apply for units / currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) Must ensure that the issuer of the PoA and the holder of the PoA must be KYC compliant at the time of investment above the threshold. PoA holders are not permitted to apply for KYC compliance on behalf of the issuer of the PoA. Separate procedures are prescribed for change in name, address and other KYC related details, should the applicant desire to change such information. POS will extend the services of effecting such changes.
10. Unique Identification Number (UIN) under Mapin database:

    SEBI has suspended all fresh registrations for obtaining UIN and the requirement to obtain/quote UIN under the MAPIN Regulations with effect from July 1, 2005 till further orders. UIN needs to be quoted as and when required under SEBI Regulations.
11. In case of NRI/FII investors the Account Statements / Redemption Cheques / Other correspondence will be sent to the mailing address mentioned.
12. All applications are accepted subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after details scrutiny/verification at the back office of the registrars.

III. BANK DETAILS :

13. As per the SEBI guidelines, it is mandatory for investors to mention their bank account details in the application form. In the absence of the bank details the application form will be rejected. Wherever possible / availability of electronic credit service, RMF will give instruction to the investor's Bank for direct / electronic credit for dividend / redemption payments and such instructions will be adequate discharge of RMF towards the said payment. In case the credit is not affected by the unitholder's banker for any reason RMF reserves the right to make the payment by a cheque / DD, in case it is not possible to make the payment through electronic credit. If the electronic credit is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information, RMF will not be held responsible.

    *Direct credit of redemption/dividend proceeds will be available in Centurion Bank of Punjab only for 3 schemes viz, Reliance Vision Fund, Reliance Growth Fund & Reliance Equity Opportunities Fund.

IV. INVESTMENT DETAILS :

14. Payment should be made by Cheques/DDs payable locally, in the city where the Designated Investor Service Centre of RMF is located and where the application is submitted. The Cheque/DD should be crossed, marked "Account payee" and drawn in favour of Scheme Name : "Reliance Growth Fund" OR "Reliance Vision Fund" OR "Reliance Equity Opportunities Fund" OR " Reliance Index Fund" OR " Reliance NRI Equity Fund" OR " Reliance Banking Fund" OR "Reliance Pharma Fund"OR "Reliance Media and Entertainment Fund" OR " Reliance Diversified Power Sector Fund" OR " Reliance Tax Saver (ELSS) Fund" OR " Reliance Equity Fund" Out station cheques or post-dated cheques or cash will not be accepted.

    Investors from such centers, who do not have a facility to pay by local cheque, as there are no Collection Centres of RMF, will be permitted to deduct the actual DD commissions charges. Documentary proof, thereof is to be attached, if not attached the AMC reserves the right to call for the same at a later date. The amount of the DD commission charges will be limited to the actual charges paid or DD charges of State Bank of India, whichever is lower. (Separate Cheque / Demand Draft is required for investment in each scheme / plan.)

V. MODE OF PAYMENT :

15. Please mention the application serial no. on the reverse of the cheque/demand draft tendered with the application.
16. In case the payment is made through Indian Rupee draft purchased abroad or from FCNR or NRE A/c, an Account Debit Certificate from the Bank issuing the draft, confirming the debit should be submitted. For subscription made by NRE / FCNR Account cheques, the application forms must be accompanied with a photocopy of the cheque or Account Debit Letter / Certificate from the bankers.
17. Separate cheque/Demand Draft is required for investment in each scheme/plan.

VI. NOMINATION :

18. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders more sheets can be added for signatures of holders of units and witnesses.
19. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unitholder. [Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.]
20. The Nominee shall not be a trust, [other than a religious or charitable trust,] society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
21. Nomination in respect of the units stands rescinded upon the transfer of units.
22. Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heir.
23. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
24. On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee.]

VII. E-MAIL COMMUNICATION :

25. Investors desirous of receiving documents through email need to indicate such option by ticking the relevant box provided in the application form.
26. Please contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Computershare Pvt. Ltd., Karvy Plaza, 21, Road No. 4, Street No.1, Banjara Hills, Hyderabad - 500 034 or send an email to customer_care@reliancemutual.com, in case of non-receipt of account statement within 30 days from the date of submission of the application.

INSTRUCTIONS WITH REGARD TO RELIANCE ANY TIME MONEY CARD

1 The card shall be issued only to Resident Individuals. The card shall not be issued to Minors, HUF, NRI, Pvt/ Public Ltd Companies, Parternership Firms, Proprietorship Firms, Trusts etc. **No card shall be issued for subscriptions through DDs/third party cheques.**

2 Please note: The card will be sent only after realisation of cheque.

3 Only one card can be issued to one folio/ account. This shall be issued only to the 1st holder where the mode of holding is Single or any one or survivor.

4 Cash withdrawl /Balance Enquiry/Transaction at POS terminals an chargeable. Please refer to the Tariff Card in the Welcome Kit.

5 Redemption facility through this card will be purely optional and in addition to the conventional method of redemption i.e. physical redemption request to be submitted at the Designated Investor Service Centres of the Reliance Mutual Fund. Investor can opt for any mode of redemption as per his choice and convenience.

6 Your Personal Identification Number (PIN) shall be mailed to you separately. Please ensure that you receive the PIN after you receive your card. In case you do not receive the same it please contact the RCAM/ Karvy branch Investor Service Center or call 30301111 or send an e-mail to customer_care@reliancemutual.com.

7 In case your Reliance Any Time Money Card is already linked to an existing folio, scheme and if you wish to link your investment in e.g.: Reliance Equity Fund to this existing card then your Reliance Equity Fund would become secondary account. In case of Visa ATM or POS terminals you can only transact through Primary A/c.

8 Please change your PIN immediately on receipt

9 Please sign on the reverse of the card on the signature panel.

10 Withdrawals through ATM or POS terminals can be stopped temporarily or permanently for want of any statutory compliance

11 Please retain a copy of transaction slip generated by the ATM Machine after completion of transaction as confirmation of the transaction done.

12 If your card ever gets lost or stolen, please contact us immediately at the telephone numbers mentioned at the back of the card. We will **hot list** your card (no transactions shall be possible thereafter through the hot listed card).

13 In order to receive the credit back on void transactions done on your card, you need to send/fax a copy of void transaction slip to the address mentioned at the back of the card.

14 Your card is valid in India and abroad. You cannot make foreign currency transactions in Nepal and Bhutan (i.e. transactions in currencies other than local currency of Nepal/ Bhutan or Indian Rupees).

15 Please ensure that while using the card outside India, you are doing so strictly in accordance with RBI's Exchange Control Regulations, as prevailing from time to time. The onus of ensuring compliance with the regulations is on you, the holder of the card.

16 Please read the terms and conditions carefully, which will be provided in the welcome kit of the card.

17 Please contact RCAM for the Schemes under which cards are issued.

CHECKLIST

Please ensure that:

- ☐ Your Application Form is complete in all respect, Name, Address & contact details are mentioned in full, signed by all applicants.
- ☐ Bank Account Details are entered completely and correctly.
- ☐ Permanent Account Number (PAN) of All the applicants are mandatory. If PAN is not available then acknowledgment copy of Form 49A is required. Form 60 or Form 61 in duplicate, with requisite address proof for investment of Rs. 50,000 and above has been attached.
- ☐ Unique Identification Number (UIN) is mentioned (for Bodies Corporate).
- ☐ Appropriate Scheme Name, Plan & Option is mentioned clearly.
- ☐ The Cheque / DD is drawn in favour of "Reliance .... "(chosen scheme) dated and dully signed.
- ☐ Application Number is mentioned on the reverse of the Cheque / DD.
- ☐ Documents as listed alongside are submitted along with the Application (as applicable to your specific case).

Documents	Companies	Trusts	Societies	Partnership Firms	FIIs	Investments through Constituted Atorney
1. Resolution/Authorisation to invest	✓	✓	✓	✓	✓	
2. List of Authorised Signatories with Specimen Signatures(s)	✓	✓	✓	✓	✓	✓
3. Memorandum & Articles of Association	✓					
4. Trust Deed		✓				
5. Bye-Laws			✓			
6. Partnership Deed				✓		
7. Overseas Auditor's Certificate					✓	
8. Notarised Power of Attorney						✓
9. - Proof of PAN or acknowledgment copy of Form 49A	✓	✓	✓	✓	✓	✓
- Form 60 / 61 alogwith Proof of Address	✓	✓	✓	✓	✓	✓

All documents above should be originals / true copies certified by the Director / trustee / Company Secretary / Authorised Signatory / Notary Public.